GLAECONOMICS

Adult Education Budget
Data Publication – Methodology Information

25 August 2020

About this document

This document provides a range of information on the methodology used to produce GLA AEB Data Publications. It provides an overview of the data used, along with information on data issues, data definitions and the publication cycle.

Contents

Introduction

This methodology document provides an overview of the Adult Education Budget (AEB) data used in the production of statistics. It provides information on the methodology used, as well as information on the data sources, data issues and data definitions.

Scope

This AEB release provides a coherent and comprehensive picture of the participation and achievement of adults in London for the first three quarters of the academic year (August 2019 to April 2020). It includes adult (19+) education provided by the following institutions:

- General FE Colleges (including Tertiary),

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- Other Public Funded[1],
- Private Sector Public Funded[2],
- Special Colleges[3], and
- Sixth Form Colleges.

These organisations deliver a variety of GLA-funded programmes, including:
- Adults Skills, and
- Community Learning.

Current GLA AEB delivery excludes apprenticeships, traineeships, advanced learner loans, the offender learning and skills service, and education and training services funded by the Education and Skills Funding Agency.

Data Sources and Methodology Information

The key data used to produce AEB Data Publications are Single Individualised Learner Records (ILR) collections provided to the GLA by the ESFA, Funding Claims submitted by providers to the GLA and Funding Summary Report, provided to the GLA by the ESFA.

The following data files have been used to produce GLA's AEB release of data on the first three quarters of the academic year:

- Single Individualised Learner Records data (SILR R10), which includes data from August 2019 to May 2020,
- Funding Claims (mid-year 2019/20), and
- Funding Summary Report (FSR R10), which includes data from August 2019 to May 2020.

It should be noted that in-year data is subject to a data lag and future reconciliation. They should be treated with caution and as provisional estimates. Final year data will provide the most comprehensive picture of delivery.

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[1] Other Public Funded includes Central Government Department, Central Government NDPB, Public Corporations & Trading Funds, Local Education Authority (LEA), Social Services, Other Local Authority, Police Authority, Fire Authority, Local Authority Dept, Local Authority, NHS-English Foundation Trust, NHS-English Non Foundation Trust, NHS-Other Organisations, Independent school or college, UFI Directly Funded Hub, Dance and Drama School, External Institution, Higher Education Organisation, School Sixth Form (not college), Special learning needs establishment, Other Public Organisation, Charitable, Non-Charitable, Other Voluntary Organisation, LSC Region, Special College, Academies, External Institution, Institution funded by other Govt Dept, University Technology College.
[2] Private Sector Public Funded includes Community Interest Company, Company Incorporated by Royal Charter (England/Wales), Employer Association, Independent Association, Industrial/Provident (England/Wales), Limited Liability Partnership, Limited Partnership, PRI/LBG/NSC/S.30, PRI/LTD BY GUAR/NSC, Private Limited Company, Private Unlimited Company, Public Limited Company, Sole Trader, Trade Union, Chamber of Commerce / Trade, Organisation in Business in its own right, Other Private Organisation, Business Link.
[3] Special Colleges include Agriculture and Horticulture Colleges; Art, Design and Performing Arts Colleges and Specialist Designated Colleges.

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ILR background information

The Education and Skills Funding Agency publish a large range of information relating to the ILR, which includes technical documents that specify the ILR data which publicly funded providers must collect and return. This also includes information to help further education providers collect, return and check the quality of ILR and other learner data.

All information and documents are published on GOV.UK at:
https://www.gov.uk/government/collections/individualised-learner-record-ilr

Methodology Information

Records included in GLA publication figures

All records which meet the following criteria have been included in the dataset used to calculate the figures that the GLA publishes:
- Source of Funding is the Greater London Authority (code 116);
- Learning is active at any point in publication period[4]; and
- Learning must match agreement type that provider has with the GLA[5].

Definitions and SILR Variables used

Learner and/or Learning Attribute	SILR Variable	Notes[6]
Adult Skills	FundModel	FundModel = 35
Community Learning	FundModel	FundModel = 10
Level	D_Notionlev_V2	See codes in standard file specification
Sector Subject Area (Tiers One and Two)	D_SecSubjAreaTier2	See codes in LARS dataset (Learning Aims Reference Service)
Basic Skills	D_BSkillType	See codes in standard file specification; English = Literacy (D_BSkillType = 1) Maths = Numeracy (D_BSkillType = 2) ESOL = Language (D_BSkillType = 3); If D_BSkillType is 1, 2 or 3, then learning is counted as Basic Skills

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[4] For the R10 publication, the publication period is the first three quarters of the academic year (August 2019 to April 2020). Any learning that is active in May 2020 but not active at any point from August 2019 to April 2020 is not included in the publication.

[5] E.g. if learning is identified as procured (any DAM code equal to 002), then the provider must have a procured contract for services. If learning is not identified as procured, then provider must have received a grant allocation.

[6] For further detail on any of the variables, see the ILR specification, accompanying appendices, and provider support manual.

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Learner Home Local Authority District (LAD)	LSDPostcode	Matched to LAD using ONS Postcode Directory (ONSPD), May 2020)[7]
Central London Forward	Learner Home Local Authority District (LAD)	All learning matched to LADs of Camden, the City of London, Hackney, Haringey, Islington, Kensington and Chelsea, Lambeth, Lewisham, Southwark, Tower Hamlets, Wandsworth and Westminster[8]
Local London	Learner Home Local Authority District (LAD)	All learning matched to LADs of Barking and Dagenham, Bexley, Bromley, Enfield, Greenwich, Havering, Newham, Redbridge and Waltham Forest[9]
South London Partnership	Learner Home Local Authority District (LAD)	All learning matched to LADs of Croydon, Kingston upon Thames, Merton, Richmond upon Thames and Sutton[10]
West London Alliance	Learner Home Local Authority District (LAD)	All learning matched to LADs of Barnet, Brent, Ealing, Hammersmith & Fulham, Harrow, Hillingdon and Hounslow[11]
Sex	Sex	Banded into 19-23, 24-49, and 50+
Ethnicity Group	Ethnicity	See codes in standard file specification; groupings made in accordance with gov.uk guidance on ethnicity groups.
BAME	Ethnicity	Defined using ethnicity group definition above BAME: Mixed / Multiple ethnic groups, Asian / Asian British, Black / African / Caribbean / Black British, or Other ethnic group; White: White
Age	L_Age	Banded into 19-23, 24-49, and 50+
Employment status prior to enrolment	L_EmpstatPrior	Employment status prior to enrolment is based on self-declaration by the learner, as reported in the Individualised Learner Record (ILR). This is variable is an indicative

[7] See further information on ONS postcode products
[8] Local authority membership in Central London Forward as reflected on their website on 5 August, 2020
[9] Borough membership in Local London as reflected on their website on 5 August, 2020, plus Bromley.
[10] Borough membership in South London Partnership as reflected on their website on 5 August, 2020
[11] Local authority membership in West London Alliance as reflected on their website on 5 August, 2020

		measure of employment status, but is not sufficient to adequately deduce whether a person is employed, unemployed, or economically inactive, since the full suite of contextual questions needed to do so are not asked. Further, this data is not required for learners enrolled only in Community Learning. See codes in standard file specification.
Learner considers self to have a learning difficulty and/or disability and/or health problem	LLDDHealthProb	Yes; LLDDHealthProblem = 1 No; LLDDHealthProblem = 2
Receives learner support	L_LSR1, L_LSR2, L_LSR3, L_LSR4	Learner support must be one of the types referenced in the GLA 2019/2020 Funding and Performance Management Rules (hardship funding, 20+ childcare funding, or residential access funding). Any of L_LSR1, L_LSR2, L_LSR3, or L_LSR4 equal to any of 58, 59 or 60.
Provider receives disadvantage uplift funding	DisUpFactAdj	Yes (DisUpFactAdj > 1); No (DisUpFactAdj not > 1) Community Learning records are not included, as they do not have a disadvantage uplift factor adjustment.
Household situation	D_HHS1, D_HHS2	No household member is in employment and the household includes one or more depending children; D_HHS1 or D_HHS2 equal to 1 No household member is in employment and the household does not include any dependent children; D_HHS1 or D_HHS2 equal to 2 Learner lives in single adult household with dependent children; D_HHS1 or D_HHS2 equal to 3 The first and third categories - 'No household member is in employment and the household includes one or more dependent children' and 'Learner lives in a single

		adult household with dependent children' - are not mutually exclusive and a record may be counted in both categories.
Achievement statistics	Outcome	See codes in standard file specification. Because the R10 data includes May, but the publication period only extends to April, it is possible that a learner's outcome may not reflect their status at the end of the publication period. In the case where the last day of learning (LearnActEndDate) occurs after the publication period, the outcome is set to 9 (study continuing) to reflect the learning outcome status at the end of the publication period.
Level 2 Entitlement	FFI, LearnAimRef, D_AgeAimStart, PriorAttain, D_Notionlev_V2, LearnStartDate	To be counted as a Level 2 Entitlement the following conditions must be met: 1. Learning must be fully funded (FFI = 1)[12]; 2. Learning aim (LearnAimRef) must be on the L2/L3 entitlement list and learning start date (LearnStartDate) must be between or on approval start and end dates; 3. Prior attainment must be below full level 2 (No qualifications, Entry level, Other qualifications below Level 1, Level 1; PriorAttain equal to any of 99, 9, 7, 1); and 4. Age at learning start must be between 19 and 23, inclusive ($19 \leq$ D_AgeAimStart ≤ 23).
Level 3 Entitlement	FFI, LearnAimRef, D_AgeAimStart, PriorAttain, D_Notionlev_V2, LearnStartDate	To be counted as a Level 2 Entitlement the following conditions must be met: 1. Learning must be fully funded (FFI = 1)[13]; 2. Learning aim (LearnAimRef) must be on the L2/L3 entitlement list and learning start date (LearnStartDate) must be between or on approval start and end dates;

[12] FFI is not returned for Community Learning, so this effectively limits the definition to Adult Skills
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		3. Prior attainment must be below full level 3 (No qualifications, Entry level, Other qualifications below Level 1, Level 1, Full level 2; PriorAttain equal to any of 99, 9, 7, 1, 2); and 4. Age at learning start must be between 19 and 23, inclusive (19 $\leq$ D_AgeAimStart $\leq$ 23).
English & Maths Entitlement	FFI, LearnAimRef, LearnStartDate, D_BSkillType	To be counted as English & Maths Entitlement, the following conditions must be met: 1. Learning must be fully funded (FFI = 1)[14]; and 2. Learning aim (LearnAimRef) must be on the English & Maths entitlement list and learning start date (LearnStartDate) must be between or on approval start and end dates. English Entitlement and Maths Entitlement is determined by D_BSkillType (1 for English, 2 for Maths).
Community Learner Type	ASL	See codes in standard file specification.
Wage Category (Fully funded due to receipt of low wage, fully funded due to reasons other than receipt of low wage, co-funded as not in receipt of low wage)	DAM_1, DAM_2, DAM_3, DAM_4, FFI, OtherFundAdj, L_EmpstatPrior, D_Notionlev_V2	To be counted as fully funded due to receipt of low wage, the following conditions must be met: 1. At least one Devolved Area Monitoring (DAM) code must identify the learning as learner in receipt of low wage (DAM_1, DAM_2, DAM_3, or DAM_4 = 10); 2. Learning must be coded as co-funded (FFI = 2)[15]; 3. Other funding adjustment must be used to boot funding (OtherFundAdj >100); and 4. Employment status prior to enrolment must be in paid employment (L_EmpstatPrior equal to 1, 2, 3, 4, 5, 6, 13, 14, 15, 16, 19, 20, 21, 22, 23, 24, 25, or 26).

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[14] FFI is not returned for Community Learning, so this effectively limits the definition to Adult Skills.
[15] FFI is not returned for Community Learning, so this effectively limits the definition to Adult Skills.

		To be counted as fully funded due to receipt of low wage, the following conditions must be met:
		1. No Devolved Area Monitoring (DAM) code identifies the learning as learner in receipt of low wage (none of DAM_1, DAM_2, DAM_3, or DAM_4 are equal to 10); and 2. Learning is fully funded (FFI = 1)[16]. To be counted as co-funded as not in receipt of low wage, the following conditions must be met: 1. No Devolved Area Monitoring (DAM) code identifies the learning as learner in receipt of low wage (none of DAM_1, DAM_2, DAM_3, or DAM_4 are equal to 10); 2. Learning is co-funded (FFI = 1)[17]; and 3. Level is neither 3 nor 4 (D_Notionlev_V2 not equal to 3 or 4).

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[16] FFI is not returned for Community Learning, so this effectively limits the definition to Adult Skills.
[17] FFI is not returned for Community Learning, so this effectively limits the definition to Adult Skills.

Statistical Disclosure Controls
- Headline tables
    - Counts suppressed below 50, rounded to nearest 100
    - Funding suppressed below £1000, rounded to nearest £1000
- Supplementary breakdowns
    - Counts suppressed below 5, rounded to nearest 10
    - Funding suppressed below £1000, rounded to nearest £1000

Key Definitions

Adult Education Budget: The Adult Education Budget (AEB) funds education and training for adults aged 19 and above. Some of these qualifications funded through the AEB include basic English and maths skills, basic digital and adult community learning.

These courses are delivered by a range of different providers, including:

- further education colleges
- local authorities
- independent training providers
- institutes for adult learning
- sixth form colleges
- universities

The Mayor of London is taking over responsibility for the Adult Education Budget from the government starting in the academic year 2019/20[18].

Issues with in-year data

This release reflects provider R10 data submissions, which may be subject to data recording and/or reporting issues. As a result, where delivery numbers may seem contrary to expectations, it is not possible to ascertain in advance of the final data submission what constitutes an issue with delivery vs in-year data submissions.

In-year information is subject to data lag, which is when a provider submits information after the period it related to. This information is subsequently attributed to the correct time period. Data are subject to data lag until final returns for the academic year are made by providers, after the end of the academic year. Final data for each academic year (August to July) are published in the following November. The size of revision to individual estimates that arise from data lag can vary greatly.

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[18] The academic year runs from 1st August to 31st July.

As provider behaviour can change over time the data lag from one year to the next may not be consistent. Year on year in-year data comparisons should therefore be treated with some caution. The Department for Education and the GLA recommend using final data for the last complete academic year for analysis of changes over time.

Funding / Delivery to date figures:

Note that these refer to provisional in-year data. The following data files have been used to produce expenditure figures in this release:

- Single Individualised Learner Records data (SILR R10), which includes data from August 2019 to May 2020,
- Funding Claims (mid-year 2019/20), and
- Funding Summary Report (FSR R10), which includes data from August 2019 to May 2020.

In addition, GLA payments are in accordance with the Standard National Profile for AEB Grant-Funded Providers and final reconciliation applies at the end of the academic year subject to the arrangements agreed by the Mayor for that year. Therefore, funding figures should not be considered a financial statement of the GLA liabilities to date. For more information on grant and procured payment and reconciliation processes please see:
https://www.london.gov.uk/what-we-do/skills-and-employment/skills-londoners/adult-education-budget/information-gla-aeb-providers#acc-i-57898

Publication cycle across the year

In early 2020, the AEB Mayoral Board agreed that AEB programme data should be published following the Department for Education's Further Education and Skills data publication and in line with the UK Code of Practice for Official Statistics (which the GLA has signed up to on a voluntary basis).

The GLA will be publishing on a quarterly basis. This is the first GLA publication for the third quarter of the academic year, which for the first time includes sets of LAD and SRP tables in addition to London-level tables.

The first full-year publication is expected in the autumn of 2020, after the GLA receives the full-year (R14) ILR data from the ESFA.

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General Footnotes relevant to all tables

- Counts have been rounded to the nearest 100 and * indicates a value of less than 50.
- Counts have been rounded to the nearest 10 and * indicates a value of less than five.
- Funding has been rounded to the nearest £1000 and * indicates a value of less than £1000.
- Unless otherwise stated, all data is drawn from the 2019/2020 R10 SILR, as provided to the GLA by the ESFA

Get in Touch

Media enquiries

These contact details are for journalist enquiries only.

Following the latest instructions from the Government to limit non-essential travel to help control the spread of the COVID-19 virus the Mayor of London's press office is currently working remotely.

During this time all media enquiries should be emailed and we will continue to respond as quickly as possible.

Email: mayorspressoffice@london.gov.uk

For urgent media enquiries outside office hours and at weekends please call: 020 7983 4000 and ask for the duty press officer.

Other enquiries/feedback

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